

<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.53) <b>10/533372</b> Att. Docket No. M11-171
INTERNATIONAL APPLICATION NO. PCT/US2003/034607	INTERNATIONAL FILING DATE October 30, 2003	PRIORITY DATE CLAIMED October 30, 2002
TITLE OF INVENTION WAVELENGTH INSENSITIVE INTEGRATED OPTIC POLARIZATION SPLITTER		
APPLICANT(S) FOR DO/EO/US WATTS, Michael R.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (Not applicable – application was written and filed in English). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(C)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
<b>Items 11 To 20 below concern document(s) or information included:</b>		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including Form PTO-1449 and copies of references B1-B4 and C1-C2. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment (6 pgs) 14. <input checked="" type="checkbox"/> <b>Repln. Ref: 07/25/2005 PROOKER 0016111400</b> <b>DAH: 071700 Name/Number: 10533372</b> <b>FC: 9204 \$300.00 CR</b> 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A Copy of Revocation of Prior Powers and Power of Attorney By Applicant; and change of address letter, as filed on 04/08/05. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. <input checked="" type="checkbox"/> A copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translated of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information:		
Return receipt postcard		

Repln. Ref: 07/22/2005 PROOKER 0013351000  
 DAH: 071700 Name/Number: 10533372  
 FC: 9204 \$100.00 CR

10/533372

JC20 Rec'd PCT/PTO 29 APR 2005

Application No. Not yet assigned

Page 2 of 2

21. The following fees are submitted:				<b>CALCULATIONS</b> <span style="float: right;">PTO USE ONLY</span>	
<input checked="" type="checkbox"/> a) Basic national fee..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee..... \$200.00 <input checked="" type="checkbox"/> c) Search fee..... \$500.00 <input type="checkbox"/> d) Size fee (for each additional 50 sheets over 100 sheets)..... \$250.00					
<b>ENTER APPROPRIATE FEE AMOUNT =</b>				\$ 1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration of later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =	0	X \$50.00	\$ 0.00	
Independent claims	3 - 3 =	0	X \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$ 1000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1000.00	
				<b>Amount to be:</b>	\$
				<b>refunded</b>	
				<b>charged</b>	\$

- a. ☒ A check in the amount of \$1000.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 07-1700.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Repln. Ref: 07/25/2005 PBOOKER 0016111400  
 Patent Administrator Name/Number: 10533372  
 Goodwin Procter LLP \$300.00 CR  
 Exchange Place  
 Boston, MA 02109  
 Customer No. 051414

*Natasha C. Us*  
 SIGNATURE

Repln. Ref: 07/22/2005 PBOOKER 0013351000  
 DA# 071700 Name/Number: 10533372  
 Natasha C. Us FC: 9204 \$100.00 CR  
 NAME

44,381  
 REGISTRATION NUMBER

4-29-2005  
 DATE